



Experimental and numerical analyses on thermal performance of different typologies of PCMs integrated in the roof space

Elarga, Hagar; Fantucci, Stefano; Serra, Valentina; Zecchin, Roberto; Benini, Ernesto

Published in:
Energy and Buildings

Link to article, DOI:
[10.1016/j.enbuild.2017.06.038](https://doi.org/10.1016/j.enbuild.2017.06.038)

Publication date:
2017

Document Version
Peer reviewed version

[Link back to DTU Orbit](#)

Citation (APA):
Elarga, H., Fantucci, S., Serra, V., Zecchin, R., & Benini, E. (2017). Experimental and numerical analyses on thermal performance of different typologies of PCMs integrated in the roof space. *Energy and Buildings*, 150, 546-557. <https://doi.org/10.1016/j.enbuild.2017.06.038>

General rights

Copyright and moral rights for the publications made accessible in the public portal are retained by the authors and/or other copyright owners and it is a condition of accessing publications that users recognise and abide by the legal requirements associated with these rights.

- Users may download and print one copy of any publication from the public portal for the purpose of private study or research.
- You may not further distribute the material or use it for any profit-making activity or commercial gain
- You may freely distribute the URL identifying the publication in the public portal

If you believe that this document breaches copyright please contact us providing details, and we will remove access to the work immediately and investigate your claim.

Experimental and numerical analyses on thermal performance of different typologies of PCMs integrated in the roof space

Hagar Elarga^{1*}, Stefano Fantucci², Valentina Serra², Roberto Zecchin^{1,3}, Ernesto Benini⁴

¹ International Centre for Indoor Environment and Energy ICIEE, Department of Civil Engineering, Technical University of Denmark Kgs. Lyngby, 2800, Denmark

² TEBE Research Group, Department of Energy, Politecnico di Torino, Corso Duca degli Abruzzi 24, 10129 Torino, Italy

³ Manens-Tifs Sp.A., Corso Stati Uniti 56, 35127, Padova, Italy

⁴ Department of Industrial Engineering, University of Padua, Via Venezia 1, 35131, Padova, Italy

*Corresponding author: Hagar Elarga

E-mail address: hagel@byg.dtu.dk (H.Elarga)

ABSTRACT

The study investigates the thermal performances of Phase Change Materials (PCM) integrated in a roof space to be used as a residential attic in Torino, Italy. Three different solutions were applied to a roof continuously monitored under summer climatic conditions. The roof was divided into three portions, one, the bare roof, representing the reference case without PCMs, the other two integrating two PCM's typologies with different melting/solidification temperatures range. A numerical model was furthermore developed implementing the equivalent capacitance numerical method to describe the substance phase transition and the measured data set were used for its validation. The study demonstrates that PCM-enhanced components are a promising solution toward a higher thermal performance efficiency in roof attic spaces during summer season. Experimental results showed a reduction of the ongoing heat peak load between 13% and 59% depending on the PCM typology, highlighting that to reach the expected performance the proper PCM type should be carefully selected.

Keywords: PCM; Roof attic space; RC model; Numerical simulations; Experimental analysis

1. Introduction

The Climate change is jeopardizing living sustainability on the planet. This change is affecting different life aspects such as water resources, coastal zone, marine systems and energy consumption[1]. Concerning the latter aspect, the building sector is estimated to be ~40% from the total energy consumption in EU. Space heating and cooling energy demands from the building envelope represent about 47% of the total energy demands within a typical residential/commercial building [2]. Generally, in residential buildings, the surface that has the largest exposure to the outdoor environment and, consequently, most subjected to the climate change is represented by the roof. Indeed the U.S. Department of energy estimate that the roof attic space alone are responsible for 12%-14% of the energy required for space heating and cooling in U.S.[3]. This aspect assumes relevant importance since the conversion of roof attic in habitable spaces represents one of the principal interventions to increase the density of urban area without consuming

new soil. In Italy, several policies at regional level have promoted this retrofit action, which presents an added value to other conventional interventions aimed at refurbishing buildings envelope and building services [4]. A key issue in the conversion of attic space is represented by the thermal refurbishment of the roof, which presents, with respect to a typical dwelling unit a larger exposed area, responsible of high thermal gains/losses respectively in summer and winter [5]. As far as the energy retrofit of an existing roof, the addition of new insulation layers raises particular issues which have to be duly taken into account as the height reduction of the internal space and the structural load increase on the existing roof. In the latest years, a number of technologies have been developed to improve winter and summer behavior of roofs components and overcome these limitations through reduced thickness and weight of super insulating materials [6][7][8], radiant heat barriers [9][10], ventilated air cavity [11][12][13], and cool materials [14][15][16]. A different approach, so far not so investigated in this kind of application, is to improve the dynamic thermal properties of the roof assembly by implementing Phase Change Materials (PCMs) [17],[18]. These are characterized by high thermal capacitance within their transition phase, stabilizing the indoor surface temperatures and delaying the thermal wave during the night hours, when coupling this strategy with passive cooling strategies (e.g. night ventilation) the heat stored during daytime can be efficiently removed (discharging phase).

1.1 PCMs implementation in building components

PCMs in buildings can be integrated as passive or active systems [19]. Several case studies demonstrates that PCMs should be incorporated into walls, roofs, windows, thermal insulation materials and furniture [20]. Kuznik et al. [21] investigated a renovation project in the south of France using PCM wallboards. The analysis was carried out by testing two rooms renovated with and without PCMs, they concluded that the PCMs increased the indoor thermal comfort, but for several days the applied PCM appeared unable to use its latent heat storage capacity due to the incomplete discharge overnight. This fact has highlighted the importance of the PCM system design to allow for the complete charge and discharge processes. Xu et al. [22] investigated the thermal performance of a PCM floor system in passive solar buildings. The study highlighted that the performances are affected by several factors such as layer thickness, melting temperature, thermal conductivity and latent heat of fusion of PCMs, choice of covering material and the presence of air gap between PCM and covering material. The results showed that the thickness of PCM should not be greater than 20 mm and the latent heat of fusion and thermal conductivity of PCM should respectively exceed 120 kJ/kg and 0.5 W/(m K). In [23] the performance of a plaster embedding PCM, applied to the internal side of the walls was investigated, from the embodied energy and operational energy point of view, concluding that for the analyzed case study (a simple rectangular room located in Italy), the high embodied energy of the PCM plaster was not counter balanced by the benefits in terms of operational energy reduction (nevertheless the improvement of comfort conditions are not considered in the analysis). The performance of PCMs integrated in roofs are investigated by several authors. An experimental investigation on a roof coupled with PCMs and cool materials is presented in [24], where a PCM mixture encapsulated in polyethylene pipes placed in the external side of the roof (under the mortar levelling layer). Three test rooms were monitored in order to compare the influence of PCMs layer and the coupling of PCM and cool roof technology on the same roof section without PCM. The results are promising, showing an average reduction of the indoor roof peak temperature, respectively of 0.58°C for the PCM embedded roof and 0.84°C for the PCM embedded cool roof. The application of PCM in polyurethane roof membranes was investigated in [25]. The results of the in-lab characterization, demonstrate that the proposed prototype of waterproof membrane could represent an effective passive cooling solution, combining the effect of cool roofs and latent heat capacity due to the presence of PCM. Furthermore the application of PCM in walls and roof was simulated in [26], implementing Fanger model to control the HVAC

81 system. Results highlight the potential of PCM in enhancing the energy efficiency of buildings. In particular, PCM 10
82 mm thick and melting temperature of 27°C allows the highest annual energy savings with the shorter payback period.

83 In this paper, experimental and numerical analyses were carried out on an existing residential building roof integrated
84 with PCMs, with the aims to:

- 85 • Evaluate the thermal improvements due to PCMs integration in the summer season;
- 86 • Investigate the effects of the PCMs transition temperature on the roof global performance;
- 87 • Develop and validate a numerical model to be used to extend the analyses to other roofs typologies and
88 locations.

89 **2. Experimental analysis of PCMs integrated in a roof component**

90 **2.1 Case study description**

91 The experimental campaign was carried out during summer 2016 in San Francesco al Campo - Turin (Italy 45.23 N,
92 7.66 E). The selected case study is represented by an attic space of a residential building under refurbishment. The
93 existing roof is a timber-frame double pitched roof, with clay roof tiles as external covering.

94 The roof was divided in three different portions in which different roof assemblies were installed. The Configuration A
95 (reference case) consists of four layers (roof clay tiles, air permeable gap, XPS insulation and gypsum board). In order
96 to analyse the influence of the PCM integration in building components and understand the thermal trends of this
97 technology, two different PCM types were used, RT28HC and RT35 [27] (Table 1), respectively installed in
98 configurations B and C. The configurations are composed by hollow polycarbonate panels filled with PCM (already
99 adopted in [28] (Fig.1) and were installed between the gypsum board and the XPS layers (Fig.2). Technical
100 specifications of roof sections are summarised in Table 2.

101 102 **2.2 Measurement methodology**

103 The thermal performance assessment of the three configurations through experimental data analyses has been divided
104 into two tracks:

- 105 • The comparison of indoor surface temperatures and heat fluxes between Config. A vs Config. B and Config.
106 A vs Config. C;
- 107 • The comparison of the PCMs surface temperature profiles of Config. B vs Config. C;

108 The monitored roof sections are South South-West exposed with a slope of 28°. During the monitoring period, the
109 indoor space (floor area ~ 110 m²) was in free-floating regime with high ventilation rate. The air exchanges were
110 guaranteed by:

- 111 • The infiltration through the roof tiles;
- 112 • The presence of an open window (~1.25 m²).

113 The monitoring system aimed at assessing the surface temperatures and the energy transmission variation due to the
114 presence of PCMs was composed by 20 type-T thermocouples, 3 heat flux meter sensors and 1 pyranometer connected
115 to a data logger DT600 with channel expansion module. The measurements were carried out with a time interval of 5
116 minutes.

117 The outdoor boundary conditions (air temperature, relative humidity, wind speed and direction) were continuously
118 monitored by means of a weather station installed above the roof-top (Fig. 3). Moreover, the second class pyranometer
119 LP02 (calibration uncertainty $\leq 1.8\%$) was installed for the measurement of the incident global solar radiation (Fig. 3).
120 Temperatures across the roof sections were measured by means of type-T thermocouples (nominal accuracy ± 0.25 K)
121 (Fig. 4). Moreover, the heat fluxes were measured by means of HFP01 heat flux sensors (measurement uncertainty \pm
122 5%) placed in the indoor side (Fig.5).

124 In order to avoid the influence of the radiation heat exchange between the roof and the indoor surrounding surfaces
125 (floor, walls), the indoor surfaces of the samples were covered with a radiant barrier (aluminium shine foil).
126 The roof clay tiles are characterised by high air permeability, due to the joints of the tiles allowing air exchanges
127 between the cavity and the outside. A characterization of the infiltration rate in the cavity below the roof tiles was
128 carried out by means of the tracer-gas technique. To this purpose, a small scale sealed room was built under the roof
129 portion without the insulation layers (Fig.6). The measurements were repeated several times during the monitoring days
130 and an average infiltration rate ~ 3.3 (m³/h)/m² was estimated. It is important to remark that this infiltration rate value is
131 strictly dependent by the wind speed; the measurements were carried out with low wind speed ($v < 7$ km/h) in line with
132 the site average values.

134 2.3 Experimental results

135 The experimental results were used to compare the thermal performance of the different roof configurations and to
136 validate a numerical model. One week of experimental results (from 13th to 20th August) representative of the summer
137 conditions is reported in Fig.7.

138 In the monitored week, the outdoor temperature (black line) was between $\sim 16^\circ\text{C}$ (minimum night temperature) and
139 $\sim 32^\circ\text{C}$ (maximum daily temperature), while the indoor temperatures were between $\sim 20^\circ\text{C}$ and $\sim 33^\circ\text{C}$. The wind speed
140 (black points) was in line with the average conditions of Turin (IT) wind zone, which is generally characterized by low
141 wind velocity ($v < 10$ km/h) except for the afternoon of 16th August ($v > 15$ km/h) due to the presence of a summer
142 storm.

143 During the sunny days (13th, 14th and 17th August) the incident global solar radiation reached a peak of ~ 1000 Wm⁻² at
144 2:00 pm.

146 2.3.1 Indoor surface temperatures and heat fluxes

147 A comparison between the indoor surface temperature and heat fluxes between the reference configuration A (no
148 PCMs) and configuration B (PCM RT28-HC) is presented in Fig. 8 while a comparison between A and C (PCMs RT35)
149 is reported in Fig. 9. The indoor surface temperatures of the roof (T_{si}) are plotted in black continuous line for
150 configuration A and grey continuous lines for configurations B and C with PCM, while the heat fluxes crossing the roof
151 are plotted in dashed lines.

152 In Fig. 10, the thermal performance profiles (i.e inner surface temperature and heat flux) for the three configurations on
153 August 17th are illustrated. The reference configuration A (black line) presents the highest surface temperature during
154 daytime (37.3°C), reaching its peak between 4:00 pm and 5:00 pm. These temperature profile is followed by
155 configuration C (PCMs-RT35) reaching 35.2°C (grey line) and B (RT28HC) with 29.1°C (dashed grey line)
156 demonstrating the capability of PCM layers to reduce the indoor surface peak temperatures during daytime. As

157 expected; during the night and in the early morning, configuration A shows lower temperatures due to the lack of
158 dynamic thermal inertia, i.e. heat charging and discharging capability of the PCM layer in the latter two cases.

159 In Table 3 the results reported in Fig. 10, are summarized highlighting the peak difference among the three
160 configurations in terms of indoor surface temperatures T_{si} , as far as the heat fluxes and energy crossing the roof are
161 concerned, while in Table 4 the ongoing energy loads and outgoing energy removed from the indoor space are
162 compared for different time intervals during the day, highlighting that:

- 163 • During the night and in early morning (00:00 am – 8:00 am) configuration B is characterized by the highest
164 value of ongoing energy ($\sim 100 \text{ Wh/m}^2$). This is due to its higher temperature if compared to configuration C,
165 while the reference configuration A, without PCMs, is only subject to heat losses.
- 166 • In the morning (8:00 am – 12:00 am) configuration C is characterized by high value of heat losses (~ 48
167 Wh/m^2), while configuration B presents both energy loads and losses with a positive energy balance of 11.7
168 Wh/m^2 .
- 169 • In the afternoon (12:00 am – 4:00 pm) both configurations B and C present a good capability in removing the
170 heat from the indoor space with 40.0 and 64.6 Wh/m^2 of energy losses respectively, while Configuration A is
171 characterized by $\sim 17 \text{ Wh/m}^2$ of energy loss;
- 172 • In the evening (4:00 pm – 8:00 pm) configuration B continues to remove heat from the indoor space (working
173 in its melting phase), while configuration C is characterized by higher temperature and lower value of heat
174 loads (solid phase);
- 175 • Later in the evening (8:00 pm – 00:00 am) configuration C presents high value of heat load ($\sim 49 \text{ Wh/m}^2$),
176 while in both the other configurations A and B the heat loads are significantly lower (respectively ~ 17 and ~ 11
177 Wh/m^2).

178

179 2.3.2 Surface temperature profiles of the PCM layer

180 To highlight the importance of PCM type selection, the temperature profiles of the inner PCM layer for configuration B
181 vs configuration C is shown in Fig. 11. Configuration B has a flattered surface temperature between 26°C and 30°C ,
182 which indicates that the substance is in its transition phase with the higher values of equivalent specific heat capacity.
183 Until the approaching of mid-day (time interval which is defined by higher values of the solar radiation intensity and
184 external air temperature values), the PCM (RT28-HC) temperature has increased slightly, however it did not exceed the
185 upper limit of phase change range to totally turned to the liquid phase. On the other hand; in configuration C (RT 35),
186 the PCM mostly is in solid state, since the melting starts at 34°C , and accordingly the specific heat capacity is in its
187 minimum value of 2 kJ/kg K .

188 To conclude; PCM RT28-HC shows a better exploitation of the phase transition during the day, allowing to contain the
189 heat gains and accordingly to improve the overall thermal behavior during daytime. Nevertheless, during the night PCM
190 RT28-HC shows higher values of heat loads released to the indoor space if compared to RT35. This behavior has to be
191 carefully taken into account during the design phase according to the final use of the indoor space and users' occupancy
192 profiles.

193

194 3. Development of a numerical model

218 The thermal properties of each node inserted in the PCM layer, (e.g. specific heat capacity and density) are evaluated as
 219 a function of the previous time step temperature input T_p° . In the present study 1 cm thickness PCM layer is discretised
 220 into three homogenous layers, therefore the energy balance equation (Eq.7) of each PCM node is:

$$\rho_p C_p^* (T) \frac{T_p - T_p^\circ}{\Delta \tau} = \frac{K_p}{\Delta x} (T_{p-1} - T_p) + \frac{K_p}{\Delta x} (T_{p+1} - T_p) \quad (7)$$

221

222 3.2 RC modelling

223 The thermal resistance is defined as the ratio of the temperature difference between two thermal nodes, $(T_i - T_{i+1})$ and the
 224 heat transfer Q that flows between the two thermal nodes. This is analogous to Ohm's law, in which the electrical
 225 resistance is defined as the ratio between the voltage drop across a resistor and its current flow. Thermal resistances R_k ,
 226 R_c and R_{rad} represents respectively conduction, convection, and radiation heat transfer between the wall layers and they
 227 are determined respectively through Eq. (8), (9), and (10).

$$R_k = \frac{x}{\lambda} \quad (8)$$

$$R_c = \frac{1}{h_c} \quad (9)$$

$$R_{rad} = \frac{1}{h_r} \quad (10)$$

228 The convection heat transfer coefficients have been evaluated by different empirical laws:

- 229 • For the outdoor surface the McAdams correlation [32] was adopted Eq. (11);

$$h_c = 5.62 + 3.9 v \quad (11)$$

- 230 • For the free convection in the natural ventilated air cavity [33]:

$$h_c = 1.52 \cdot |\Delta T|^{1/3} \quad (12)$$

231 Where ΔT is the maximum difference value of the roof tile layer and the air cavity or the XPS layer and the air cavity.

- 232 • For the indoor layer, the convection heat transfer is considered free convection, since there is no serving
 233 HVAC system, and is evaluated by Eq. (13) [34]:

$$h_c = \left\{ \left[1.5 \cdot \left| \frac{\Delta T}{H} \right|^{0.25} \right]^6 + \left[1.23 \cdot (\Delta T)^{0.33} \right]^6 \right\}^{1/6} \quad (13)$$

234 Where ΔT is the difference between the indoor air temperature and the indoor surface temperature, while H is the floor
 235 height.

236 For the radiation heat exchange Eq. (14) and (15) was used [35].

$$R_{rad} = \frac{\left[\frac{1}{\epsilon_1} + \frac{1}{\epsilon_2} - 1 \right]}{\left[4 \cdot \sigma \cdot T_{avg}^3 \right]} \quad (14)$$

237 And hence:

$$h_r = \frac{4 \sigma (T_{avg})^3}{\left(\frac{1}{\varepsilon_1} + \frac{1}{\varepsilon_2} - 1\right)} \quad (15)$$

238 Where: T_{avg} is the average temperature of the two opposite surfaces, which has been evaluated as function of
 239 temperature previous time step values.

240

241 3.3 Numerical Model A (without PCM)

242 Model A (Config. A) is considered as the comparison reference case (Fig.14a). The specific heat capacity of the roof
 243 tiles and gypsum board are considerably higher than the XPS layer, hence each layer is represented in the RC scheme
 244 (Fig.14b) by two conductance resistances and a capacitance. The mathematical equations for the eight nodes are
 245 classified in equations from (16) to (24).

- 246 • For nodes 1 and 8, the heat transfer through the outer and inner layers of the roof surface is described by two
 247 thermal resistances, the convection heat transfer with the ambient conditions and the conduction transfer within
 248 the layer itself.

$$T_1 \left(-\frac{1}{R_{co}} - \frac{1}{R_{kB}} \right) + T_2 \left(\frac{1}{R_{kB}} \right) = -\frac{1}{R_{co}} T_e - I \cdot \alpha_B \quad (16)$$

$$T_8 \left(-\frac{1}{R_{ci}} - \frac{1}{R_{k-gyp}} \right) + T_7 \left(\frac{1}{R_{k-gyp}} \right) = -\frac{1}{R_{ci}} T_i \quad (17)$$

- 249 • For nodes 2 and 7, the energy have included the thermal storage influence and nodal temperature changes with
 250 the time. Taking into consideration that T_n° is the node temperature evaluated at the previous time step.

251

$$T_1 \left(\frac{1}{R_{kB}} \right) + T_2 \left(\frac{-2}{R_{kB}} - \frac{\rho \Delta x_{br} c_{br}}{\Delta \tau} \right) + T_3 \left(\frac{1}{R_{kB}} \right) = -\frac{\rho \Delta x_{br} c_{br}}{\Delta \tau} T_2^\circ \quad (18)$$

$$T_6 \left(\frac{1}{R_{k-gyp}} \right) + T_7 \left(\frac{-2}{R_{k-gyp}} - \frac{\rho \Delta x_{gyp} c_{gyp}}{\Delta \tau} \right) + T_8 \left(\frac{1}{R_{k-gyp}} \right) = -\frac{\rho \Delta x_{gyp} c_{gyp}}{\Delta \tau} T_7^\circ \quad (19)$$

- 252 • The radiation heat exchange coefficient h_r between nodes 3 and 5 is considered as a function in nodal
 253 temperatures Eq. (20). The average temperature of the two opposed surface is evaluated in the previous time
 254 step.

$$T_{avg} = \frac{T_3^\circ + T_5^\circ}{2} \quad (20)$$

- 255 • The convection heat transfer within the air cavity and the conduction heat transfer through the element are
 256 showed in the energy balance Eq. (21) and (22)

$$T_2\left(\frac{1}{R_{kB}}\right) + T_3\left(\frac{-1}{R_{kB}} - \frac{1}{R_c} - \frac{1}{R_r}\right) + T_4\left(\frac{1}{R_c}\right) + T_5\left(\frac{1}{R_r}\right) = 0 \quad (21)$$

$$T_3\left(\frac{1}{R_r}\right) + T_4\left(\frac{1}{R_c}\right) + T_5\left(\frac{-1}{R_{k-xps}} - \frac{1}{R_c} - \frac{1}{R_r}\right) + T_6\left(\frac{1}{R_{k-xps}}\right) = 0 \quad (22)$$

- 257 • In Node 4, the infiltration airflow rate m_v was estimated by the experimental measurements see Section 2.1.
 258 Accordingly, the air cavity node energy balance is:

$$T_3\left(\frac{1}{R_c}\right) + T_4\left(-\frac{2}{R_c} - m_v C\right) + T_5\left(\frac{1}{R_c}\right) = -m_v C T_v \quad (23)$$

- 259 • In Node 6, the energy balance of the interaction node between two layers of the XPS and gypsum board is:

$$T_5\left(\frac{1}{R_{k-xps}}\right) + T_6\left(\frac{-1}{R_{k-xps}} - \frac{1}{R_{k-gyp}}\right) + T_7\left(\frac{1}{R_{k-gyp}}\right) = 0 \quad (24)$$

260

261 3.4 Numerical Models B and C integrating PCM

262 The schematic description of cases B and C (Fig.15a) is similar to case A apart from the PCM layer analysis. One of the
 263 study focal points is to investigate the influence of PCM melting and specific heat capacity values on the overall energy
 264 balance. The RC model is illustrated in Fig.15b.

265 Each homogenous sub-layer (from the three nodes representing the PCM layer) is represented by a conductive
 266 resistance and a capacitance. For the sake of brevity, the resulting thermal balance equation Eq. (24) is shown for node
 267 (8)

$$\left(\frac{k_p}{x_p}\right)_{p7} T_{p7} + \left(-2\frac{k_p}{x_p} - \frac{\rho \cdot x_p \cdot c_{p2}}{\Delta\tau}\right) T_{p8} + \left(\frac{k_p}{x_p}\right)_{p9} T_{p9} = -I \cdot \left(\frac{a_{p2}}{2}\right) - \left(\frac{\rho \cdot x_p \cdot c_{p2}}{\Delta\tau}\right) \cdot (T_{p8})^{\tau-1} \quad (25)$$

268 3.5 Model validation

269 For the determination of the numerical model's reliability, the Root Mean Square Error (RMSE [°C]) calculated
 270 according to Eq. (26) were used:

$$RMSE = \sqrt{n^{-1} \cdot \sum_{j=1}^n (s_j - e_j)^2} \quad (26)$$

271 Where: s_j and e_j are respectively the predicted values and measured values for times j , and n is the number of values of
 272 the series.

273 For the validation of the numerical models, a comparison between the measured values of the inner and outer roof
 274 surfaces was carried out. Furthermore, the measured set of data has been analyzed to optimize the implementation
 275 decision of PCM in buildings and the influence of the PCM material proper selection.

276 3.5.1 Numerical results and model validation

277 Among the seven monitored days presented in section 2.3, August 16th was selected for the model validation because it
278 is characterized by different weather conditions during the same day (including summer storm and rain), so as the
279 numerical model response could be evaluated under variable climatic boundary conditions. The comparison between
280 numerical and experimental results is plotted in Fig. 16, 17 and 18 respectively for Configurations A, B and C for the
281 outdoor and indoor surface temperatures. For the determination of the indoor surface temperature, it is to underline that
282 indoor zone is not thermally controlled, and windows are kept open day and night.
283 The RMSE have been estimated for all experimentally investigated surfaces, and temperatures nodes have been ordered
284 according to Figures 16-18. Values are summarized in Table 6. RMSE results in a range between 0.4 °C (inner surface)
285 and 4 °C (outer roof tiles surface). The difference appearing in the outdoor surface temperature during night hours is
286 justified considering that the numerical model neglects the radiation heat exchange between the outer surface with the
287 sky and adjacent surfaces. Moreover as explained in section 2.3, between 3:00 and 5:00 pm, a summer storm occurred,
288 which explains the reason of the mismatch between measured and predicted values, in fact the numerical model has
289 neglected the effect of roof tiles cooling caused by rain water. On the other hand, some parameters controlling the heat
290 transfer in buildings are complex to be predicted (e.g. surface heat transfer coefficient), for all these reasons, the
291 numerical models accuracy is considered acceptable, especially because the difference between the experimental and
292 calculated results have been decreased in the peak time and the two temperature profiles were matched, as shown in
293 Figures (17) and (18).

294

295 4. Conclusions

296 In this study, a roof-mounted PCM filled panels with different melting temperature were monitored and compared with a
297 reference roof without PCM layer. The analyses focused on the temperature and heat flux peaks reduction, highlighting
298 that the presence of PCM layers obtains:

- 299 • A reduction in the indoor surface peak temperature of ~ 2.2°C and ~ 8.2°C respectively for the RT35 and the
300 RT28HC configurations;
- 301 • A contribution in removing heat from the indoor attic during daytime, in particular RT 35 configuration, is able
302 to remove heat mainly during the morning, while RT 28 HC configuration during the afternoon (characterized
303 by higher air temperature and incident solar radiation).

304 These results demonstrate the importance of the proper PCM selection. In fact PCM which mainly works in its transition
305 phase (RT28HC) shows higher capability to reduce the heat load in the below attic space during the hottest hours of the
306 day. Meanwhile a more evident ceiling surface temperature reduction should contribute to the improvement of the indoor
307 comfort condition.

308 The analysis on the energy flows highlights that both PCM configurations determines heat loads released to the indoor
309 environment during their discharging phase. These results underline the importance of coupling PCM with passive night
310 cooling strategies (e.g. night ventilation) to guarantee the efficacy of the PCM in reducing the daily heat loads.

311 Moreover, a numerical RC model implementing the PCM behavior was developed. The model was validated through a
312 comparison with the measured data, showing a RMSE between 0.4°C (indoor surface temperature) and 4°C (outdoor
313 surface temperature), according to the magnitude of the temperature variation during the day. The whole year
314 measurements on the presented roof are still in progress and a more complete picture of the influence of PCMs layer on
315 the overall energy balance will be thus possible. Implementing PCMs in roof attic is a promising solution to enforce; peak
316 load savings with a range of ~13 to ~59% and to take advantage of all unused areas in residential houses keeping
317 acceptable thermal conditions. However, it is important to take into consideration that it may not always be a suitable

318 efficient application for all climatic conditions. Exploratory numerical simulations have to be carried out during the early
319 design stages to ensure the benefits achievable by using PCM.
320 The developed numerical model needs further improvements and modifications to expand the results on urban scale level.
321 Future work plan is focusing on coupling TRNSYS software to the 1-D model in order to investigate the behavior of
322 building components implementing PCMs; in particular, the thermal optimization in different climatic conditions for
323 different roofs assemblies.
324

325 **Acronyms**

326

PCM	Phase Change Material
XPS	Extruded Polystyrene
TES	Thermal Energy Storage
HVAC	Heating Ventilation and Air Conditioning
RMSE	Root Mean Square Error
SF ₆	Sulphur Hexafluoride

327

328 **Nomenclature**

A	Cross section area	m ²
T	Temperature	°C
hc	Convection heat transfer coefficient	W m ⁻² K ⁻¹
R _C	Specific convection thermal resistance	m ² K W ⁻¹
R _K	Specific conduction thermal resistance	m ² K W ⁻¹
R _{rad}	Specific radiation thermal resistance	m ² K W ⁻¹
Pr	Prandtl number	(-)
C	Specific heat capacity	J kg ⁻¹ K ⁻¹
C*	Equivalent Specific heat capacity	J kg ⁻¹ K ⁻¹
H	Height of the surface	m
h	Specific enthalpy of fusion	kJ kg ⁻¹
h ₀	Outside convection heat transfer coefficient	W m ⁻² K ⁻¹
h _c	Cavity convection heat transfer coefficient	W m ⁻² K ⁻¹
h _i	Inside convection heat transfer coefficient	W m ⁻² K ⁻¹
IL	Internal Loads	W
I	Impinged solar radiation	W m ⁻²
K	Thermal conductivity coefficient	W m ⁻¹ K ⁻¹

	x	Layer thickness	m
329			
330	Greek symbols		
	α	Short wave solar absorption coefficient	(-)
	v	Wind velocity	(m/s)
	ε	Long wave radiation emissivity	(-)
	ρ	Air density	kg/m ³
	σ	Stefan-Boltzmann constant, (5.67 x 10 ⁻⁸)	W/(m ² K ⁴)
	ΔT_h	Temperature range of phase change	°C
	τ	Time step	s
	β	Liquid fraction	%
	Φ	Heat flux	W m ⁻²
	λ	Thermal conductivity	W/mK
331			
332	Subscripts		
	s	Solid state	
	l	Liquid state	
	h	PCM Melting/solidification temperature range difference	
	m	Melting peak	
	P	PCM node	
	r	Reference	
	i	Indoor	
	o	Outdoor	
333			
334	Superscripts		
	°	Previous time step	
	*	Equivalent	
335			
336			

337 REFERENCES

338

- 339 [1] IPCC, CLIMATE CHANGE 2001: impacts, adaptation and vulnerability, Work.Gr. II Impacts Adapt.
 340 vulnerability, 2001, p. 10.
- 341 [2] EPBD recast, Directive 2010/31/EU of the European Parliament and of Council of 19 May 2010 on the energy
 342 performance of buildings (recast). Official Journal of the European Union; 2010
- 343 [3] U.S. Department of Energy, 2011 Buildings Energy Data Book, 2012, p. 286.
- 344 [4] Consiglio regionale del Piemonte - Regional law 6th august 2008 n.21, Norme per il recupero del sottotetto a fini
 345 abitativi.
- 346 [5] L. Bianco, R. Pollo, V. Serra, Wood fiber vs synthetic thermal insulation for roofs energy retrofit: a case study in
 347 Turin, Italy, Energy Procedia (in Press).
- 348 [6] A. Lorenzati, S. Fantucci, A. Capozzoli, M. Perino, Coupling VIPs and ABPs: Assessment of Overall Thermal
 349 Performance in Building Wall Insulation, Energy Procedia, Volume 78 (2015), 2760-2765.
 350 <http://dx.doi.org/10.1016/j.egypro.2015.11.620>.
- 351 [7] F. Isaia, S. Fantucci, A. Capozzoli, M. Perino, Vacuum Insulation Panels: Thermal Bridging Effects and Energy
 352 Performance in Real Building Applications, Energy Procedia, 83 (2015), 269-
 353 278, <http://dx.doi.org/10.1016/j.egypro.2015.12.181.F>.
- 354 [8] Isaia, S. Fantucci, A. Capozzoli, M. Perino, Thermal bridges in vacuum insulation panels at building scale,
 355 Proceedings of the Institution of Civil Engineers - Engineering Sustainability 170-1 (2017), 47-60.
 356 <http://dx.doi.org/10.1680/jensu.15.00057>.
- 357 [9] K.S. Ong, Temperature reduction in attic and ceiling via insulation of several passive roof designs, Energy
 358 Conversion and Management 52-6 (2011), 2405-2411. <http://dx.doi.org/10.1016/j.enconman.2010.12.044>.
- 359 [10] A.D. Fontaninia, K. M. Pr'Outa, I. J. Kosnyb, B. Ganapathy subramaniana, Exploring future climate trends on the
 360 thermal performance of attics : Part 1 – Standard roofs, Energy and Buildings 129 (2016) 32–45.
- 361 [11] A. Gagliano, F. Patania, F. Nocera, A. Ferlito, A. Galesi, Thermal performance of ventilated roofs during
 362 summer period, Energy and Buildings, 49 (2012), 611-618. <http://dx.doi.org/10.1016/j.enbuild.2012.03.007>.
- 363 [12] C. Marinosci, G. Semprini, G.L. Morini, Experimental analysis of the summer thermal performances of a
 364 naturally ventilated rainscreen façade building, Energy and Buildings, 72 (2014), 280-28.
 365 <http://dx.doi.org/10.1016/j.enbuild.2013.12.044>.
- 366 [13] S. Fantucci, C. Marinosci, V. Serra, C. Carbonaro, Thermal Performance Assessment of an Opaque Ventilated
 367 Façade in the Summer Period: Calibration of a Simulation Model through in-field Measurements, Energy Procedia, 111
 368 (2017), 619-628, <https://doi.org/10.1016/j.egypro.2017.03.224>.
- 369 [14] A.L. Pisello, V.L. Castaldo, G. Pignatta, F. Cotana, M. Santamouris, Experimental in-lab and in-field analysis of
 370 waterproof membranes for cool roof application and urban heat island mitigation, Energy and Buildings, 114 (2016)
 371 180-190. <http://dx.doi.org/10.1016/j.enbuild.2015.05.026>.
- 372 [15] A. L. Pisello, Thermal-energy analysis of roof cool clay tiles for application in historic buildings and cities,
 373 Sustainable Cities and Society 19 (2015), 271-280. <http://dx.doi.org/10.1016/j.scs.2015.03.003>.
- 374 [16] A. L. Pisello, V. L. Castaldo, C. Fabiani, F. Cotana, Investigation on the effect of innovative cool tiles on local
 375 indoor thermal conditions: Finite element modeling and continuous monitoring, Building and Environment 97 (2016),
 376 <http://dx.doi.org/10.1016/j.buildenv.2015.11.038>

[17] A. Pasupathy, L. Athanasius, R. Velraj, R.V. Seeniraj, Experimental investigation and numerical simulation analysis on the thermal performance of a building roof incorporating phase change material (PCM) for thermal management, *Applied Thermal Engineering*, 28 (2008), 556-565, <https://doi.org/10.1016/j.applthermaleng.2007.04.016>.

[18] Jan Košný, Ali Fallahi, Nitin Shukla, Elizabeth Kossecka, Ramin Ahbari, Thermal load mitigation and passive cooling in residential attics containing PCM-enhanced insulations, *Solar Energy*, 108 (2014), 164-177, <https://doi.org/10.1016/j.solener.2014.05.007>.

[19] F. Souayfanea, F. Fardouna, P.H. Biwoleb, Phase change materials (PCM) for cooling applications in buildings: A review, *Energy and Buildings* 129 (2016), 396-431.

[20] M. Pomianowski, P. Heiselberg, Y. Zhang, Review of thermal energy storage technologies based on PCM application in buildings, *Energy and Buildings*. 67 (2013), 56-69.

[21] F. Kuznik, J. Virgone, K. Johannes, In-situ study of thermal comfort enhancement in a renovated building equipped with phase change material wallboard, *Renew. Energy* 36 (2011), 1458-1462.

[22] X. Xu, Y. Zhang, K. Lin, H. Di, R. Yang, Modeling and simulation on the thermal performance of shape-stabilized phase change material floor used in passive solar buildings, *Energy Build.* 37 (October (10)) (2005)1084-1091.

[23] C. Carbonaro, Y. Cascone, S. Fantucci, V. Serra, M. Perino, M. Dutto, Energy Assessment of A PCM-embedded Plaster: Embodied Energy Versus Operational Energy, *Energy Procedia* 78 (2015) 3210-3215. [doi:10.1016/j.egypro.2015.11.782](https://doi.org/10.1016/j.egypro.2015.11.782).

[24] S. Lu, Y. Chen, S. Liu, X. Kong, Experimental research on a novel energy efficiency roof coupled with PCM and cool materials, *Energy and Buildings*, 127 (2016), 159-169. <http://dx.doi.org/10.1016/j.enbuild.2016.05.080>.

[25] A.L. Pisello, E. Fortunati, S. Mattioli, L.F. Cabeza, C. Barreneche, J.M. Kenny, F. Cotana, Innovative cool roofing membrane with integrated phase change materials: Experimental characterization of morphological, thermal and optic-energy behavior, *Energy and Buildings*, 112 (2016), 40-48. <http://dx.doi.org/10.1016/j.enbuild.2015.11.061>.

[26] M. Saffari, A. de Gracia, S. Ushak, L.F. Cabeza, Economic impact of integrating PCM as passive system in buildings using Fanger comfort model, *Energy and Buildings* 112 (2016), 159-172. <http://dx.doi.org/10.1016/j.enbuild.2015.12.006>.

[27] www.rubitherm.com, (Access: 04/2017).

[28] A. Komerska, L. Bianco, V. Serra, S. Fantucci, M. Rosiński, Experimental Analysis of an External Dynamic Solar Shading Integrating PCMs: First Results, *Energy Procedia* 78 (2015) 3452-3457. <http://dx.doi.org/10.1016/j.egypro.2015.11.125>.

[29] F. Goia, M. Perino M. Haase, A numerical model to evaluate the thermal behavior of PCM glazing system configurations, *Energy and Buildings* 54 (2012), pp. 141-153. <https://doi.org/10.1016/j.enbuild.2012.07.036>

[30] H. Elarga, F. Goia, A. Zarrella, A. Dal Monte, E. Benini, Thermal and electrical performance of an integrated PV-PCM system in double skin façades: A numerical study 136 (2016), 112-124. <https://doi.org/10.1016/j.solener.2016.06.074>

[31] V. R. Voller, An overview of numerical methods for solving phase-change, *Advances in Numerical Heat Transfer* 1, Taylor & Francis, London (1996), Chapter 9.

[32] W.H. McAdams, *Heat Transmission*, 3rd ed., McGraw-Hill, New York, 1954.

[33] ASHRAE, 1993 ASHRAE Handbook of Fundamentals, American Society of Heating, Refrigerating and Air-Conditioning Engineers, Inc., Atlanta, USA, 1993.

- 417 [34] I. Beausoleil-Morrison, The adaptive coupling of heat and air flow modelling within dynamic whole-building
418 simulation, Ph.D. Thesis, Energy Systems Research Unit, Department of Mechanical Engineering, University of
419 Strathclyde, Glasgow, UK, 2000.
- 420 [35] Y. Çengel, Heat and mass transfer: a practical approach, 2nd edition, McGraw-Hill, 2007.

421

1

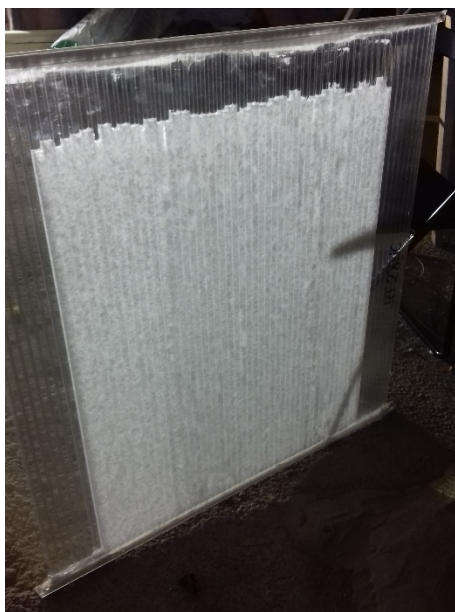


Fig.1 PCM polycarbonate layer



Fig.2 Installation of a PCM filled panel

2

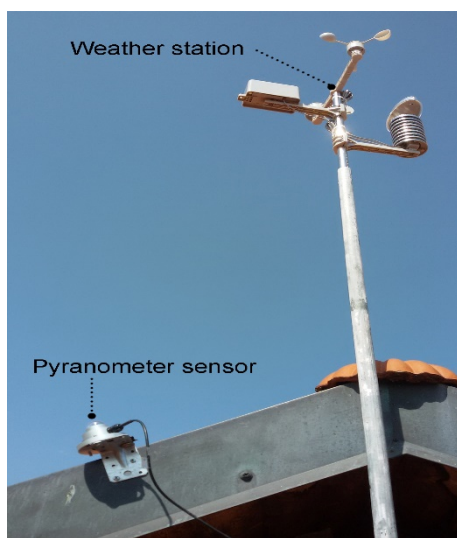


Fig.3 Weather station and pyranometer sensor



Fig. 4 Thermocouples installed above the roof tiles

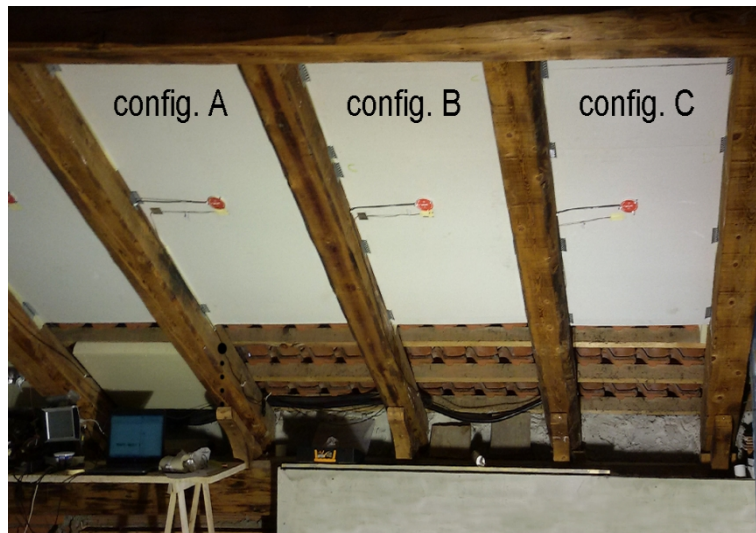


Fig. 5 From left to right, Configurations A, B and C with heat flux sensors and thermocouples placed in the indoor side

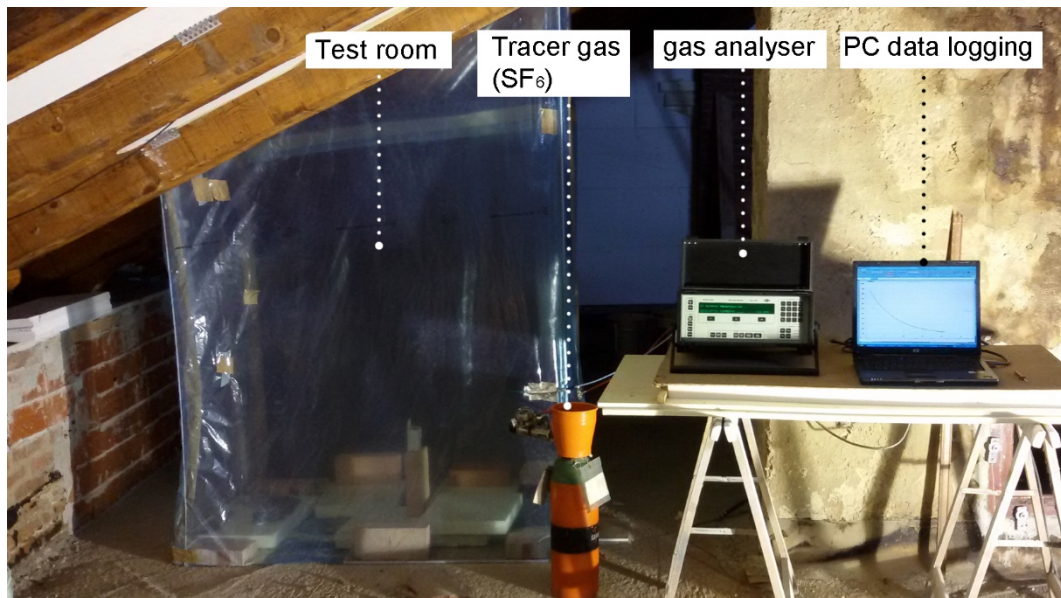


Fig. 6. Tracer gas technique used for the determination of the air infiltration rate under the roof tiles.

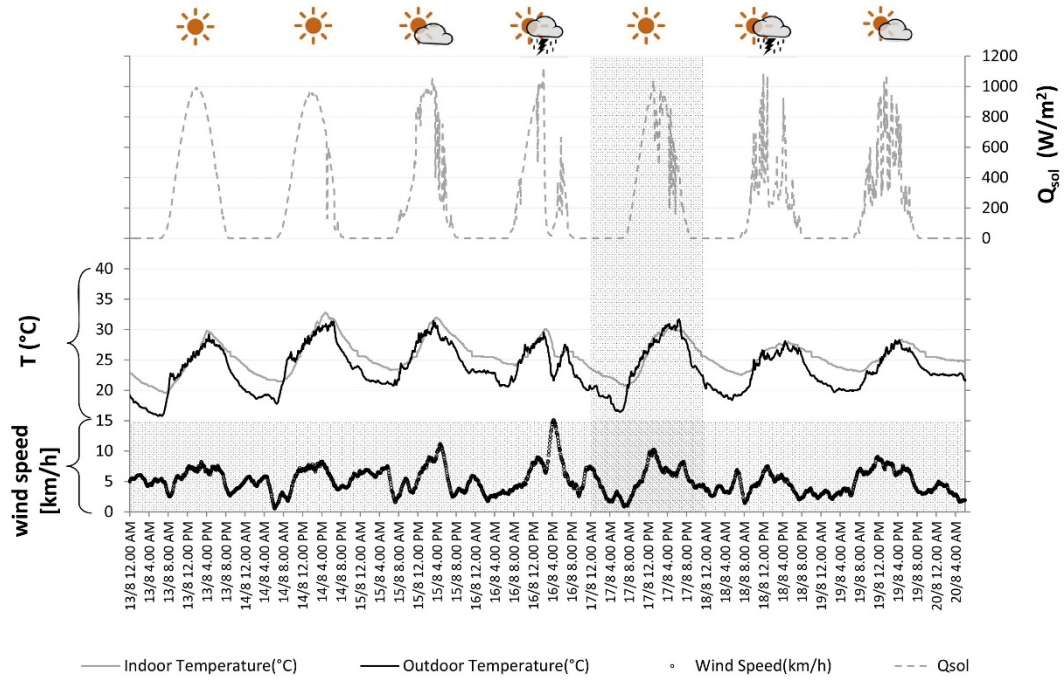


Fig. 7. Boundary conditions from 13th to 20th august. Incident solar radiation (dashed grey line), indoor temperature (grey line), outdoor temperature (black line) and wind speed (black dots).

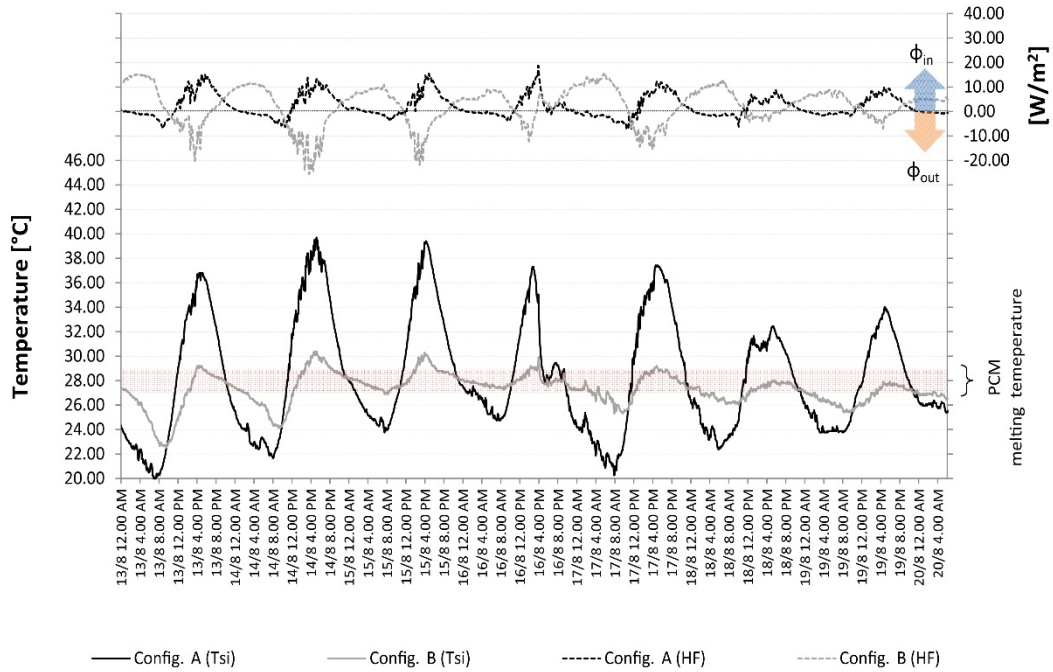
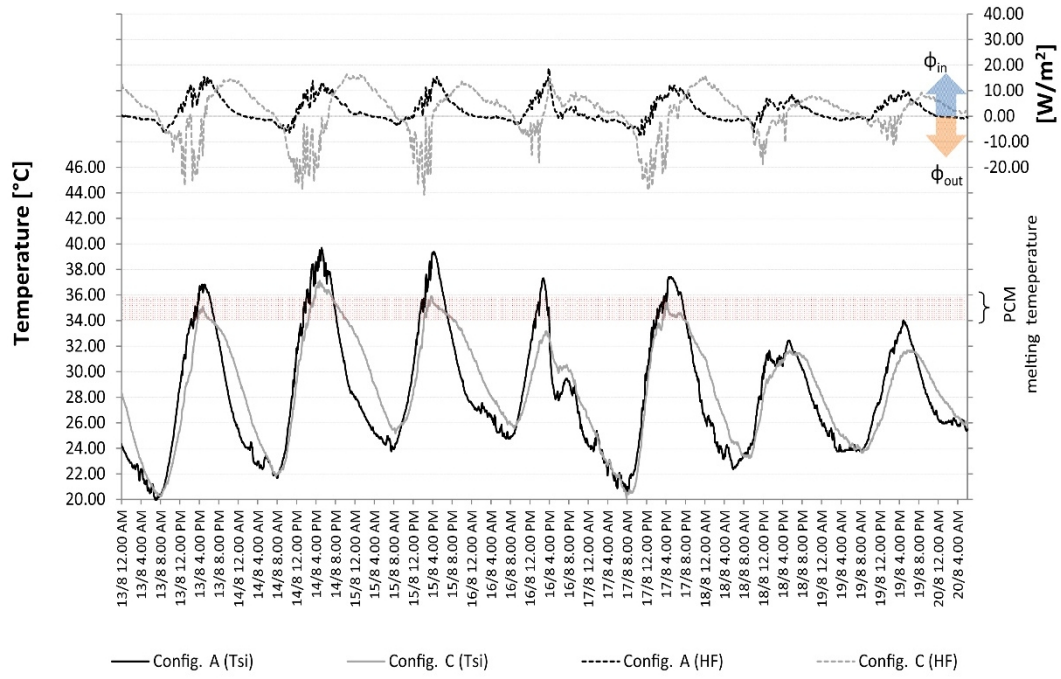


Fig. 8. Comparison between configuration A, reference roof without PCMs (black), and configuration B, roof with PCM-RT28HC (grey), heat fluxes (dashed lines) and indoor surface temperatures (continuous lines).



17

18 Fig. 9. Comparison between Configuration A, reference roof without PCMs. (black), and configuration C, roof with
 19 PCMs-RT35 (grey), heat fluxes (dashed lines) and indoor surface temperatures (continuous lines).

20

21

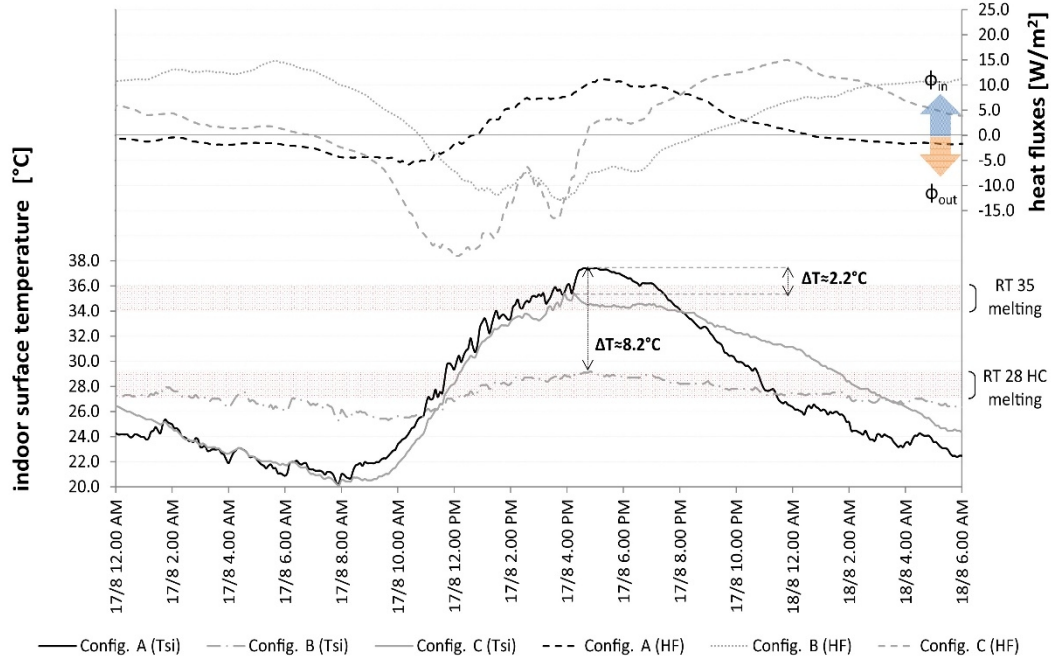


Fig. 10. Comparison between Configuration A (reference roof without PCM), B (PCM-RT28HC) and C (PCM-RT35)(grey). Selected day: August 17th.

22

23 •
24

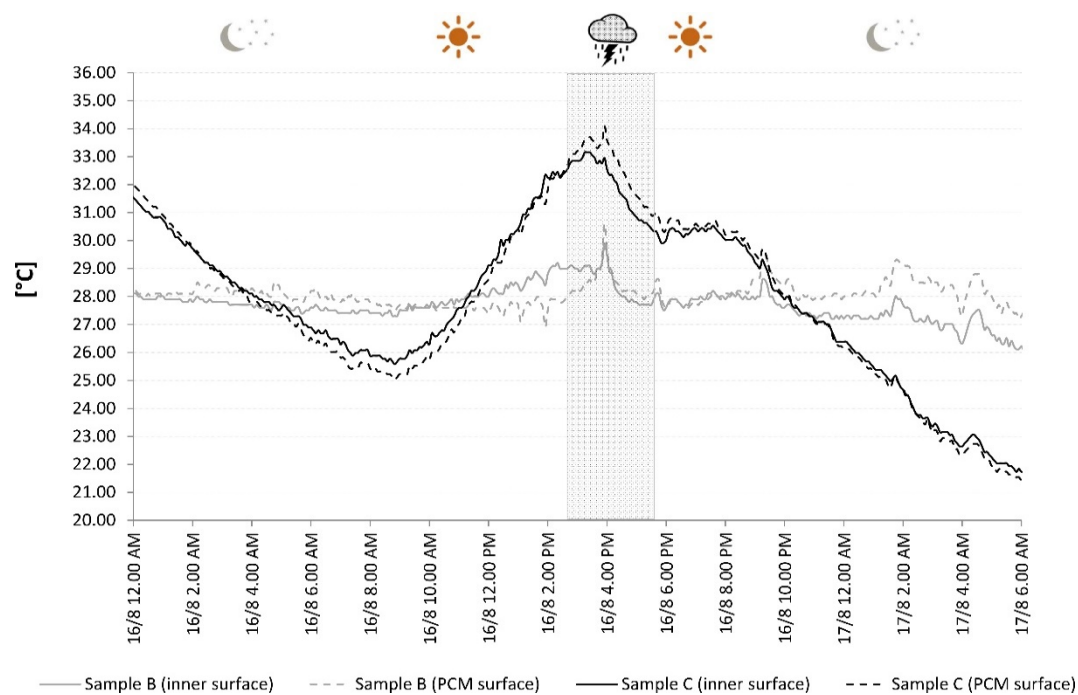


Fig. 11. Comparison between configurations B and C, PCM Surface temperature

25

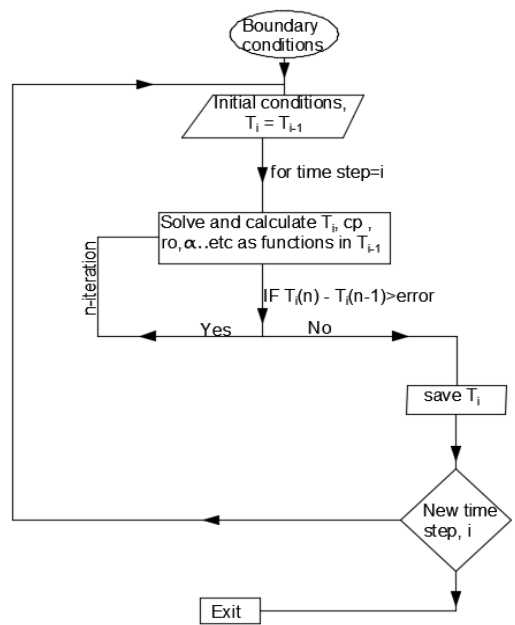


Fig. 12. Numerical code flowchart

26
27

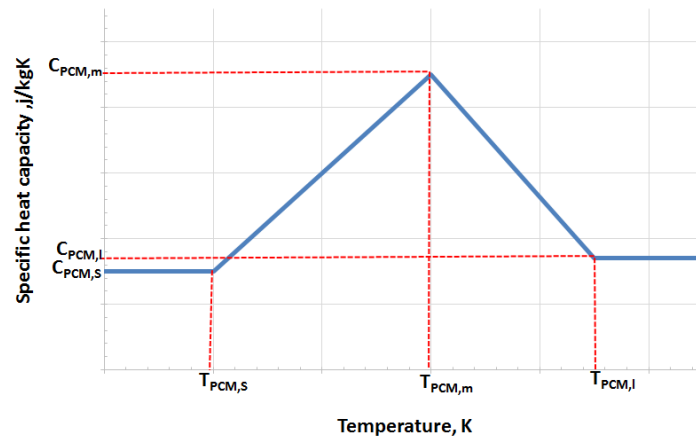
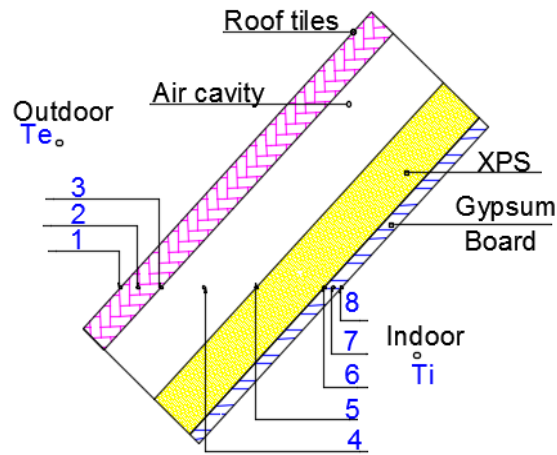
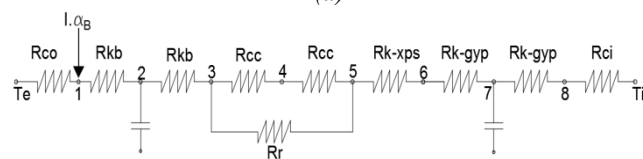


Fig.13. Specific heat capacity as a function of PCM temperature

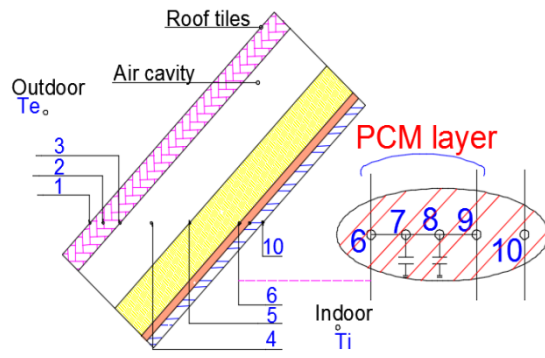


(a)

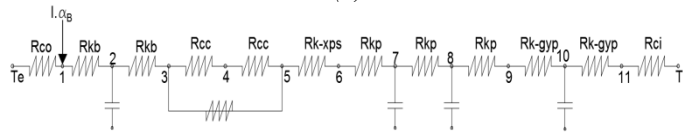


(b)

Fig. 14.(Model -A), (a) Scheme, (b) RC model

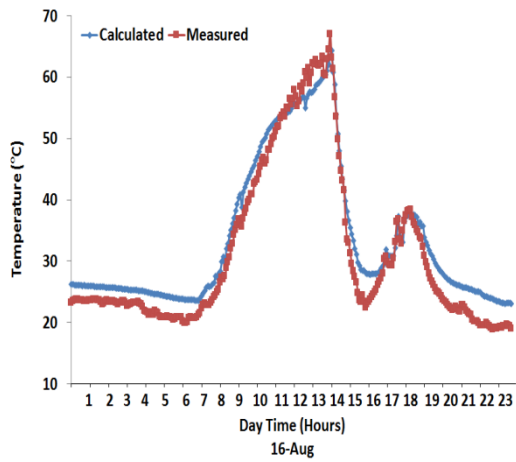


(a)

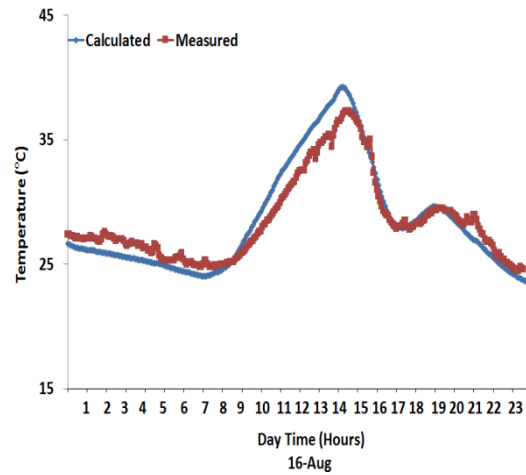


(b)

Fig. 15. (Model –B&C), (a) Scheme, (b) RC model, PCM nodes (7,8)



(a)

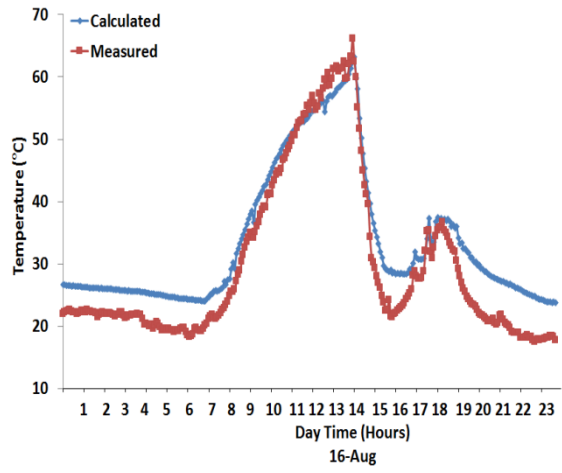


(b)

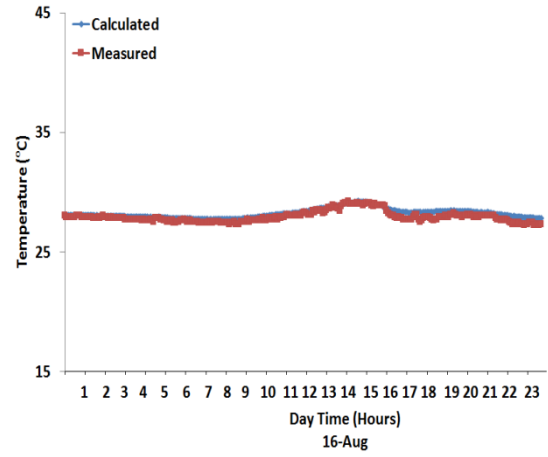
Fig. 16 Configuration A (reference config.) - Comparison between measured and predicted values. (a) outdoor surface temperature, (b) indoor surface temperature

31

32



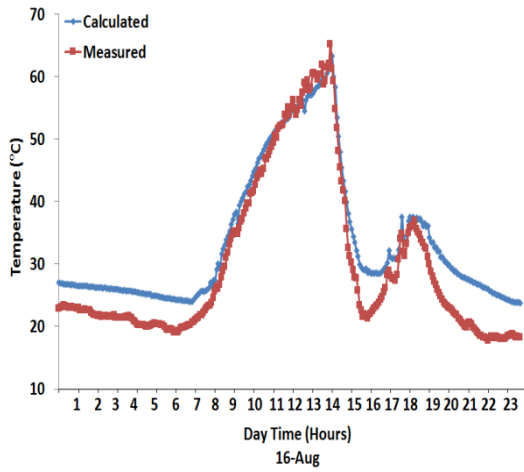
(a)



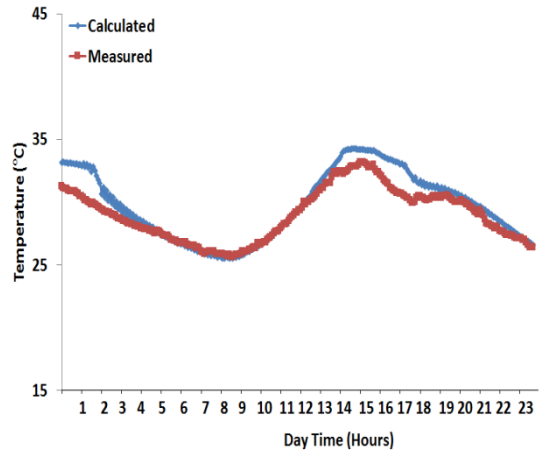
(b)

Fig. 17 Configuration B (RT28 HC) - Comparison between measured and predicted values. (a) outdoor surface temperature, (b) indoor surface temperature

33



(a)



(b)

Fig. 18 Configuration C (RT35) - Comparison between measured and predicted values. (a) outdoor surface temperature, (b) indoor surface temperature

34

Table 1. PCM physical properties **Error! Reference source not found.**

PCM name	RT28	RT35
Solid temperature (lower limit of phase change range)	27°C	34°C
Nominal melting temperature	28°C	35°C
Liquid temperature (upper limit of phase change range)	29°C	36°C
Specific heat Capacity [kJ kg ⁻¹ K ⁻¹]	2	2
Latent heat of fusion [kJ kg ⁻¹]	250	160

Table 2. Roof section: material properties. Layer 04 is included only in configurations B and C.
(data retrieved from **Error! Reference source not found.**)

Layer	Material	s (mm)	ρ (kg m ⁻³)	λ (W m ⁻¹ K ⁻¹)	cp (J kg ⁻¹ K ⁻¹)	α (-)
01	brick tiles	30	1700	0.7	840	0.55*
02	air gap	70	1.2	N.A.	1020	N.A.
03	XPS	50	32	0.034	1500	N.A.
04 (config. B and C only)	PCM	10	800	0.14	2000	N.A.
05	gypsum board	9.5	800	0.2	1000	N.A.

Table 3. Peak of temperature (August 17th)

Configuration	peak temperature (°C)			difference of T _{si} (°C)	
	A	B	C	B vs A	C vs A
T _{si, max} (12 am-00 pm)	37.3	29.1	35.2	8.2	2.1
T _{si, min} (00 pm-12 am)	20.2	25.4	20.2	5.2	0.0

Table 4. Energy loads and losses for different time intervals (August 17th)

Time interval	Configuration A (reference roof no PCM)			Configuration B (RT28HC-PCM)			Configuration C (RT35-PCM)		
	Energy losses (Wh/m ²)	Energy loads (Wh/m ²)	Energy balance (Wh/m ²)	Energy losses (Wh/m ²)	Energy loads (Wh/m ²)	Energy balance (Wh/m ²)	Energy losses (Wh/m ²)	Energy loads (Wh/m ²)	Energy balance (Wh/m ²)
00:00 am – 8:00 am	-13.3	-	-13.3	-	100.3	100.3	-1.1	18.3	17.2
8:00 am – 12:00 am	-17.9	-	-17.9	-4.5	16.1	11.7	-47.7	-	-47.7
12:00 am – 16:00 am	-0.8	17.4	16.6	-40.0	-	-40.0	-64.6	-	64.6
16:00 am – 20:00 am	-	39.3	39.3	-26.4	-	-26.4	-4.5	13.1	8.7
20:00 am – 00:00 am	-	16.9	16.9	-0.6	11.1	10.5	-	49.1	49.1

17
18
19

Table 5. RMSE for each nodes, T_1 is the outdoor surface temperature, T_{10} is the indoor surface temperature, except for configuration A in which T_8 correspond to the inner layer.

node	Config. A	Config. B	Config. C
T_1	3.4°C	3.8 °C	4.0°C
T_3	3.2°C	3.5 °C	4.0°C
T_4	3.3°C	3.2 °C	3.8°C
T_5	3.5°C	3.5°C	3.2°C
T_6	1.2°C	0.5 °C	0.5°C
T_8	1.9°C	n/a	n/a
T_9	n/a	0.5°C	0.5°C
T_{10}	n/a	0.4 °C	0.4°C

20
21